

UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20530

RECEIVED
JAN 5 4 32 PM '77
FEDERAL BUREAU OF INVESTIGATION
SECURITY
CRIMINAL DIVISION

SUPPLEMENTAL STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended

For Six Month Period Ending 4 DEC 1976
(Insert date)

Name of Registrant

Registration No. 1750

ARNOLD & PORTER

Business Address of Registrant

1229 - 19th Street, N.W.
Washington, D.C. 20036

I - REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual: N.A.

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in Item 1.

N.A.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
Mitchell Rogovin	Partner	7/31/76
Gerald M. Stern	Partner	7/31/76
Harry Huge	Partner	7/31/76

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? ☐ Yes ☒ No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
-------------	------------------------------	--------------------	-----------------	-------------------------

-
5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☐ N.A.

If yes, identify each such person and describe his services.

-
6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
-------------	-------------------------------	------------------------

-
7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
-------------	------------------------------	-----------------------------------	----------------------------------

II - FOREIGN PRINCIPAL

8. Has your connection with any foreign principal ended during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish following information:

Name and address of foreign principal

Date acquired

Federation Suisse des Associations
de Fabricants d'Horlogerie
6 Rue d'Argent
2501 Bienne, Switzerland

11/2/76 */

-
10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Ambassador of the Swiss Confederation
Swiss Cheese Union, Inc.
Switzerland Gruyere Processed Cheese Manufacturers' Association

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See Attachment No. 1

¹ The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

*/ The date given is the date the Registrant became a foreign agent of the foreign principal with a responsibility to register. The foreign principal had been a client prior to that date. This statement relates only to the period beginning 11/2/76.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Swiss Ambassador

The Registrant held discussions with the Office of the Special Representative for Trade Negotiations urging that watches and watch movements should be included in the United States offering list in the multilateral trade negotiations.

-
13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

Yes ☐ No ☒

If yes, describe fully.

² The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
<u>Swiss Ambassador</u>			
7/30/76	Embassy of Switzerland	Legal Fees	\$ 4,000.00
		Out-of-Pocket Expenses	741.59
12/3/76	Embassy of Switzerland	Legal Fees	8,000.00
		Out-of-Pocket Expenses	656.77
<u>Federation Suisse</u>			
11/19/76	Federation Suisse	Legal Fees	6,250.00
			<u>\$ 19,648.36</u>
			Total

14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
--------------------------------------	--------------------------	--	----------------

³ A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
------	---------	---------	--------

See Attachment No. 2

Total

15. (b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
--------------------------	---	--	--	----------------

(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes ☐ No ☒ */

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
-------------	-------------------------------------	---	------------------------------

*/ See Attachment No. 3

V - POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

N.A.

⁵ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda?
Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following: N.A.

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups: N.A.

☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups

☐ Other (Specify) _____

21. What language was used in this political propaganda: N.A.

☐ English ☐ Other (specify) _____

22. Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? N.A.

Yes ☐ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐ N.A.

24. Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? N.A.

Yes ☐ No ☐

VI - EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☒ No ☐

Exhibit B⁷ Yes ☒ No ☐

If no, please attach the required exhibit.

- (a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?

Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐ N.A.

If no, please attach the required amendment.

⁶ The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

⁷ The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6-month reporting period.

Yes ☐ No ☐ N.A.

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements, been filed by all of the persons named in Items 5 and 7 of the supplemental statement?


Yes ☐ No ☐ N.A.

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)


Robert E. Herzstein

Subscribed and sworn to before me at

Washington, D.C.

this

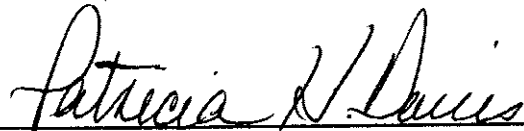
3rd

day of

January

, 19

77



(Signature of notary or other officer)

My Commission Expires October 31, 1978

⁸ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D. C. 20530

NOTICE
REGISTRATION UNIT
INTERNAL SECURITY
SECTION
CRIMINAL DIVISION

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form DJ-302 - Supplemental Statement):

Yes _____ or No XXX

(If your answer to question 1 is "Yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes XXX or No _____

(If your answer to Question 2 is "Yes" please forward for our review copies of all such material including; films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.) We have disseminated material in the past. We have not disseminated any material during the six-month period.

Robert E. Herzstein
Signature

1/3/77
Date

Robert E. Herzstein
Please type or print name of signatory on the line above

Partner
Title

ATTACHMENT NO. 1

11. During this 6 month period, have you engaged in any activities for or rendered any services to any foreign principal named in Item 8, 9, and 10 of this statement? Yes X No

If yes, identify each such foreign principal and describe in full detail your activities and services:

Swiss Ambassador

The Registrant rendered advice on U.S. laws, regulations and policies concerning international trade, imports, diplomatic immunity, foreign service officers, escape clause investigations, countervailing duties on cheese, foreign origin labeling, an International Trade Commission investigation relating to watches, foreign banking in the United States, licensing and know-how agreements, marital property rights, service of process, and government procurement. In addition, the Registrant represented the principal in In the Matter of the Petition of Geisser and Geisser v. United States.

Swiss Cheese Union, Inc.

The Registrant rendered advice on U.S. laws, regulations and policies relating to countervailing duties on cheese.

Federation Suisse des Associations
de Fabricants d'Horlogerie

The Registrant represented the principal with respect to an investigation by the International Trade Commission relating to watches.

ATTACHMENT NO. 2

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement?

Yes X No

- (2) transmitted monies to any such foreign principal?

Yes No X

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
<u>Federation Suisse des Associations de Fabricants d'Horlogerie</u>			
Various	Arnold & Porter Employees	Local Transporta- tion	\$ 3.50
Various	Xerox Corpora- tion	Duplicating Expense	29.50
11/29/76	RCA Global Communications	Telex	<u>5.40</u>
	Total		\$ 38.40

Swiss Cheese Union, Inc.

Various	C&P Telephone	Telephone	\$ 10.36
Various	Xerox Corpora- tion	Duplicating Expense	9.15
Various	Arnold & Porter Employees	Local Transporta- tion	<u>6.50</u>
	Total		\$ 26.01

15.(a) DISBURSEMENTS - MONIES (Cont'd)

Date	To Whom	Purpose	Amount
<u>Embassy of Switzerland</u>			
Various	Xerox Corpora- tion	Duplicating Expense	\$ 705.06
Various	Arnold & Porter Employees	Local Transporta- tion	111.60
Various	U.S. Postal Service	Postage	31.04
Various	C&P Telephone	Telephone	78.36
Various	Arnold & Porter Employees	Cash Expense	56.00
Various	Various	Court Fees	<u>80.00</u>
Total			\$1,062.06

Lawrence Sunderland (Consultant Services to Foreign Principals
and Registrant's Other Clients)

Various	Lawrence Sunderland	Consulting Fee	\$7,500.00
Various	Lawrence Sunderland	Local Transporta- tion	<u>16.80</u>
Total			\$7,516.80

TOTAL DISBURSEMENTS \$8,664.47

ATTACHMENT NO. 3

15.(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf, either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ____ No X

However, the following persons who have filed short form registration statements have made political contributions:

<u>Date</u>	<u>Amount or Thing of Value</u>	<u>Name of Political Organization</u>	<u>Name of Candidate</u>
<u>Julius M. Greisman</u>			
7/ /76	\$ 50.00	Democratic National Convention	Unknown
9/ /76	5.00	Dollars for Democrats	Unknown
<u>Robert E. Herzstein</u>			
9/9/76	50.00	Levitas Campaign Committee	Elliott Levitas
<u>James A. Dobkin</u>			
10/18/76	50.00	Dollars for Democrats	Jimmy Carter Sarbanes Lanny Davis

15.(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS (Cont'd)

<u>Date</u>	<u>Amount or Thing of Value</u>	<u>Name of Political Organization</u>	<u>Name of Candidate</u>
<u>Andrew S. Krulwich</u>			
/ /76	\$ 50.00	Davis for Congress	Lanny Davis
<u>Brooksley E. Landau</u>			
6/30/76	100.00	Jimmy Carter Presiden- tial Campaign Committee	Jimmy Carter
<u>Nancy K. Mintz</u>			
7/6/76	5.00	Fairfax County Tax- payers Alliance	Unknown
7/14/76	15.00	Thoburn Testimonial	James Thoburn
<u>Stephen M. Sacks</u>			
6/28/76	25.00	Jimmy Carter Presiden- tial Campaign Committee	Jimmy Carter
<u>Daniel M. Lewis</u>			
7/8/76	25.00	Jimmy Carter Presiden- tial Campaign Committee	Jimmy Carter
8/16/76	50.00	Citizens for Davis	Lanny Davis